

**Online Pre-Conference**  
**Inverse Problems and Nonlinearity**

**40 Years of Calderón's Problem**

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August 24, 2020

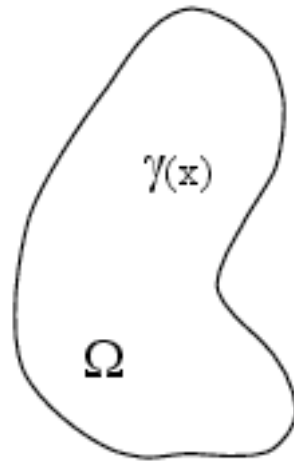


Alberto P. Calderón (1920-1997)

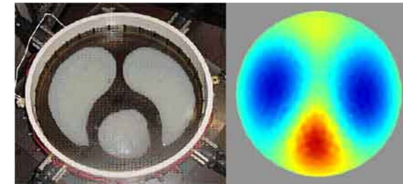
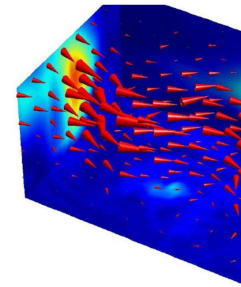
## Highlights of Calderón's Career

- Calderón Zygmund Singular Integral Theory
- Uniqueness for the Cauchy Problem
- The Complex Interpolation Method
- Calderón's Reproducing Formula
- The Calderón Projector
- Calderón-Vaillancourt Theorem
- $L^2$  boundedness of the Cauchy Integral on Lipschitz Curves (with small constant)
- Inverse Boundary Problem: On an inverse boundary value problem, in Seminar on Numerical Analysis and its Applications to Continuum Physics, Río de Janeiro, 1980.

# CALDERÓN'S PROBLEM and EIT



$$\Omega \subset \mathbb{R}^n$$
$$(n \geq 2)$$



Can one determine the electrical conductivity of  $\Omega, \gamma(x)$ ,  
by making voltage and current measurements at the  
boundary?

(Calderón; Geophysical prospection)

## Early breast cancer detection

Normal breast tissue	0.3 mho
Cancerous breast tumor	2.0 mho

# REMINISCENCIA DE MI VIDA MATEMÁTICA

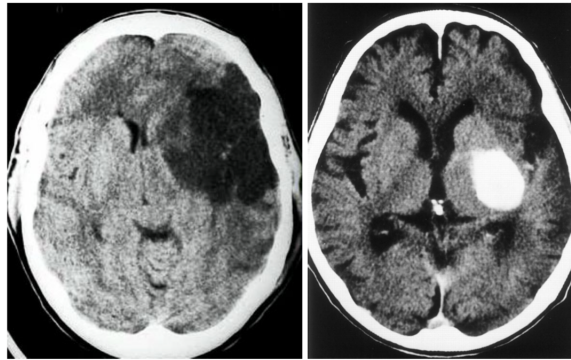
Speech at Universidad Autónoma de Madrid accepting the 'Doctor Honoris Causa':

*My work at "Yacimientos Petrolíferos Fiscales" (YPF) was very interesting, but I was not well treated, otherwise I would have stayed there.*

## Imaging Stroke with EIT

Ischemic stroke:  
low conductivity.

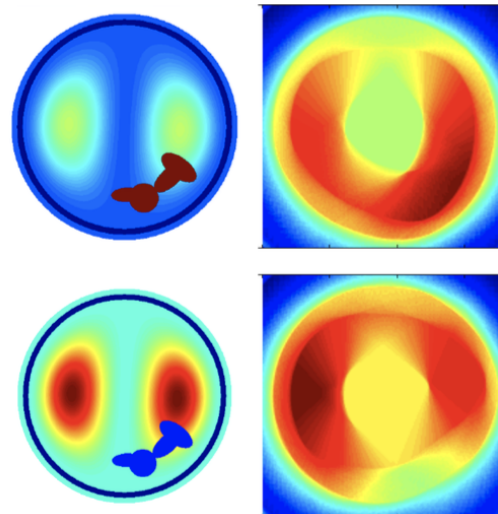
CT image from  
Jansen 2008



Hemorrhagic stroke:  
high conductivity.

CT image from  
Nakano *et al.* 2001

Same symptoms in both cases!



Simulated hemorrhage in the  
brain: higher conductivity be-  
cause of excess blood.  
Left: original, right: recon-  
struction

Simulated ischemic stroke:  
lower conductivity resulting  
from a clot blocking the flow  
of blood.  
Left: original, right: recon-  
struction

Greenleaf, Lassas, Santacesaria, Siltanen–U, 2018

## Stroke Imaging (Greenleaf–Lassas–Santacesaria–U, 2018)

(Loading Xraystyle.mp4)

## CALDERÓN'S PROBLEM (EIT)

Consider a body  $\Omega \subset \mathbb{R}^n$ . An electrical potential  $u(x)$  causes the current

$$I(x) = \gamma(x) \nabla u$$

The conductivity  $\gamma(x)$  can be isotropic, that is, scalar, or anisotropic, that is, a matrix valued function. If the current has no sources or sinks, we have

$$\operatorname{div}(\gamma(x) \nabla u) = 0 \quad \text{in } \Omega$$

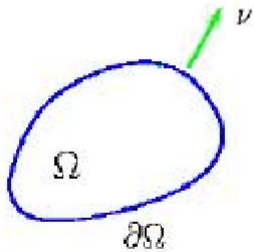


## DN Map

$$\begin{aligned}\operatorname{div}(\gamma(x)\nabla u(x)) &= 0 \\ u|_{\partial\Omega} &= f\end{aligned}$$

$\gamma$  = conductivity,  $\gamma \geq c > 0$   
 $f$  = voltage potential at  $\partial\Omega$

Current flux at  $\partial\Omega$  =  $(\nu \cdot \gamma \nabla u)|_{\partial\Omega}$  where  $\nu$  is the unit outer normal.



Information is encoded in map

$$\Lambda_\gamma(f) = \nu \cdot \gamma \nabla u|_{\partial\Omega}$$

Calderón's inverse problem: Does  $\Lambda_\gamma$  determine  $\gamma$ ?

$\Lambda_\gamma$  = Dirichlet-to-Neumann map

## Calderón's Paper

Linearized problem at  $\gamma = 1$ :

$$\boxed{\int_{\Omega} h \nabla u \cdot \nabla v dx} \quad \text{data} \quad \forall \Delta u = \Delta v = 0.$$

Can we recover  $h$ ?

$$\begin{aligned} u &= e^{x \cdot \rho} \\ v &= e^{-x \cdot \bar{\rho}}, \quad \rho \in \mathbb{C}^n, \quad \rho \cdot \rho = 0. \end{aligned}$$

$$\rho = \frac{\eta - i\xi}{2}, \quad \rho \cdot \rho = 0 \Leftrightarrow |\eta| = |\xi|, \eta \cdot \xi = 0.$$

$$\boxed{|\xi|^2 \int_{\Omega} h e^{-ix \cdot \xi} dx} \quad \text{known}$$

we can recover  $\widehat{\chi_{\Omega} h}(\xi)$ , therefore  $h$  on  $\Omega$ .

# Boundary Determination

**Theorem** (Kohn-Vogelius, 1984)

Assume  $\gamma \in C^\infty(\overline{\Omega})$ . From  $\Lambda_\gamma$  we can determine  $\partial^\alpha \gamma|_{\partial\Omega}$ ,  $\forall \alpha$ .

Proof (Sylvester-U, 1988, Lee-U, 1989)

$\Lambda_\gamma$  is a pseudodifferential operator of order 1 (Calderón).

$$\Lambda_\gamma f(x') = \int e^{ix' \cdot \xi'} \lambda_\gamma(x', \xi') \hat{f}(\xi') d\xi'$$

$$\lambda_\gamma(x', \xi') = \gamma(0, x') |\xi'| + a_0(x', \xi') + \cdots + a_j(x', \xi') + \cdots$$

with  $a_j(x', \xi')$  pos. homogeneous of degree  $-j$  in  $\xi'$ :

$$a_j(x', \lambda \xi') = \lambda^{-j} a_j(x', \xi'), \quad \lambda > 0.$$

$$\gamma(x') = \lim_{|\xi'| \rightarrow \infty} \frac{1}{|\xi'|} e^{ix' \cdot \xi'} \Lambda_\gamma(e^{-ix' \cdot \xi'}).$$

**Result** From  $a_j$ , we can determine  $\left. \frac{\partial^j \gamma}{\partial \nu^j} \right|_{x^n=0}$ .

## Uniqueness

**Theorem**  $n \geq 3$  (Sylvester-U, 1987)

$$\gamma \in C^2(\overline{\Omega}), \quad 0 < C_1 \leq \gamma(x) \leq C_2 \quad \text{on } \overline{\Omega}$$
$$\Lambda_{\gamma_1} = \Lambda_{\gamma_2} \Rightarrow \gamma_1 = \gamma_2$$

- Extended to  $\gamma \in C^{3/2}(\overline{\Omega})$  (Päivarinta-Panchenko-U, Brown-Torres, 2003)
- $\gamma \in C^{1+\epsilon}(\overline{\Omega})$ ,  $\gamma$  conormal (Greenleaf-Lassas-U, 2003)
- $\gamma \in C^1(\overline{\Omega})$  (Haberman-Tataru, 2013)
- $\gamma \in W^{1,n}(\overline{\Omega})$ , ( $n = 3, 4$ ) (Haberman, 2015)
- $\gamma \in W^{1,\infty}(\overline{\Omega})$  (Caro-Rogers, 2016)

**Conjecture**  $n \geq 3$ ,  $W^{1,n}(\overline{\Omega})$  is optimal for uniqueness

- Reconstruction A. Nachman (1988)
- Stability G. Alessandrini (1988)
- Numerical Method (Isaacson, Hamilton, Knudsen, Müller, Silta-nen, ...)

# DN Map for Schrödinger Equation

## Reduction to Schrödinger equation

$$\operatorname{div}(\gamma \nabla w) = 0$$

$$u = \sqrt{\gamma} w$$

Then the equation is transformed into:

$$(\Delta - q)u = 0, q = \frac{\Delta \sqrt{\gamma}}{\sqrt{\gamma}}$$

$$\begin{aligned} (\Delta - q)u &= 0 \\ u|_{\partial\Omega} &= f \end{aligned}$$

Define  $\Lambda_q(f) = \frac{\partial u}{\partial \nu}|_{\partial\Omega}$

$\nu$  = unit-outer normal to  $\partial\Omega$ .

## Identity

$$\int_{\Omega} (q_1 - q_2) u_1 u_2 = \int_{\partial\Omega} ((\Lambda_{q_1} - \Lambda_{q_2}) u_1|_{\partial\Omega}) u_2|_{\partial\Omega} dS$$

$$(\Delta - q_i) u_i = 0$$

If  $\Lambda_{\gamma_1} = \Lambda_{\gamma_2} \Rightarrow \Lambda_{q_1} = \Lambda_{q_2}$  and

$$\int_{\Omega} (q_1 - q_2) u_1 u_2 = 0$$

GOAL: Find **MANY** solutions of  $(\Delta - q_i) u_i = 0$ .

## COMPLEX GEOMETRICAL OPTICS

(Sylvester-U)  $n \geq 2$ ,  $q \in L^\infty(\Omega)$

Let  $\rho \in \mathbb{C}^n$  ( $\rho = \eta + ik$ ,  $\eta, k \in \mathbb{R}^n$ ) such that  $\rho \cdot \rho = 0$   
( $|\eta| = |k|$ ,  $\eta \cdot k = 0$ ).

Then for  $|\rho|$  sufficiently large we can find solutions of

$$(\Delta - q)w_\rho = 0 \text{ on } \Omega$$

of the form

$$w_\rho = e^{x \cdot \rho} (1 + \Psi_q(x, \rho))$$

with  $\Psi_q \rightarrow 0$  in  $\Omega$  as  $|\rho| \rightarrow \infty$ .

## APPLICATIONS

$n \geq 3$   $(\Delta - q) = 0$ ,  $\Lambda_q$  determines  $q$

- EIT  $\Lambda_\gamma$  determines  $\gamma$
- Optical Tomography (Diffusion Approximation)

$$i\omega U - \nabla \cdot D(x) \nabla U + \sigma_a(x) U = 0 \text{ in } \Omega$$

$U$  = Density of photons,  $D$  = Diffusion Coefficient,  $\sigma_a(x)$  = optical absorption.

### RESULT

- If  $\omega \neq 0$  we can recover both  $D(x)$  and  $\sigma_a(x)$ .
- If  $\omega = 0$  we can recover either  $D(x)$  or  $\sigma_a(x)$ .



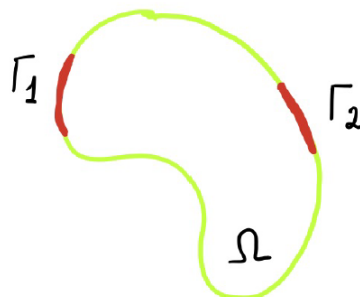
## OTHER APPLICATIONS (Fixed energy)

- **Optics**  $(\Delta - k^2 n(x))u = 0$ ,  $n(x)$  isotropic index of refraction ( $q(x) = k^2 n(x)$ ).
- **Acoustic**  $\operatorname{div}(\frac{1}{\rho(x)} \nabla p) + \omega^2 \kappa(x) p = 0$ ,  $\rho$  density,  $\kappa$  compressibility (need two frequencies  $\omega$ ).
- **Inverse quantum scattering at fixed energy**  $(\Delta - q - \lambda^2)u = 0$ ,  $q$  potential.
- **Magnetic Schrödinger equation**  $((-i\nabla + A)^2 + q)u = 0$ .
- **Maxwell's Equation (Isotropic)**  
(Ola-Somersalo): Reduction to  $(\Delta - Q)$ ,  $Q$  an  $8 \times 8$  matrix.
- **Quantitative Photoacoustic Tomography** (Bal-U)

## Partial Data

Let  $\Gamma_1, \Gamma_2 \subset \partial\Omega$  be arbitrary open non-empty. The partial Dirichlet-to-Neumann map,

$$\Lambda_{\gamma}^{\Gamma_1, \Gamma_2}(f) = (\gamma \partial_{\nu} u)|_{\Gamma_2}, \quad \text{supp}(f) \subset \Gamma_1.$$



The Calderón problem with partial data: Does  $\Lambda_{\gamma}^{\Gamma_1, \Gamma_2}$  determine  $\gamma$  in  $\Omega$ ? Open in general.

## Partial Data

- Bukhgeim–U, 2002:

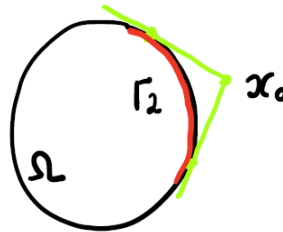
$$\Gamma_1 = \partial\Omega, \Gamma_2 = \{x \in \partial\Omega : \xi \cdot \nu(x) < \varepsilon\}, \xi \in \mathbb{S}^{n-1}, \varepsilon > 0.$$

**Note:**  $\Gamma_2$  is slightly more than a half of the boundary

- Ammari–U, 2004:  $\Gamma_1 = \Gamma_2$ ,  $\gamma_1 = \gamma_2$  near  $\partial\Omega$ .
- Kenig–Sjöstrand–U, 2007:

$\Gamma_1$  = small neighborhood of complement of  $\Gamma_2$

$$\Gamma_2 = \{x \in \partial\Omega : \frac{(x - x_0)}{|x - x_0|} \cdot \nu(x) < \varepsilon\}, x_0 \notin \overline{ch(\Omega)}, \quad \varepsilon > 0.$$



## Partial Data

- Kenig–Salo, 2014: unifies approaches of Kenig–Sjöstrand–U and Isakov and extends both of them.

$\Gamma_1 = \partial\Omega$ ,  $\Gamma_2$  as in Kenig–Sjöstrand–U.

**Theorem** (Krupchyk–U, 2016) Let  $\gamma_1, \gamma_2 \in C^{1,\delta}(\overline{\Omega}) \cap H^{\frac{3}{2}}(\Omega)$ ,  $\delta > 0$  arbitrarily small. Assume that  $\gamma_1, \gamma_2 > 0$  in  $\overline{\Omega}$ ,  $\gamma_1 = \gamma_2$  and  $\partial_\nu \gamma_1 = \partial_\nu \gamma_2$  on  $\partial\Omega \setminus \Gamma_2$ . If  $\Lambda_{\gamma_1}^{\Gamma_1, \Gamma_2} = \Lambda_{\gamma_2}^{\Gamma_1, \Gamma_2}$  then  $\gamma_1 = \gamma_2$  in  $\Omega$ .

**Remark.** Krupchyk–U, 2016: the result holds also for  $\gamma_1, \gamma_2 \in W^{1,\infty}(\Omega) \cap H^{\frac{3}{2}+\delta}(\Omega)$ ,  $\delta > 0$ .

## CGO SOLUTIONS WITH NON-LINEAR PHASE

Kenig-Sjöstrand-U (2007),

$$u = e^{\tau(\varphi(x) + i\psi(x))} (a(x) + R(x, \tau))$$

$\tau \in \mathbb{R}$ ,  $\varphi, \psi$  real-valued,  $R(x, \tau) \rightarrow 0$  as  $\tau \rightarrow \infty$ .

$\varphi$  limiting Carleman weight,

e.g.  $\varphi(x) = \ln |x - x_0|$ ,  $x_0 \notin \overline{ch(\Omega)}$

## Complex Spherical Waves

$$u = e^{\tau(\varphi(x)+i\psi(x))} a_\tau(x) = e^{\tau(\varphi(x)+i\psi(x))} (a_0(x) + R(x, \tau))$$

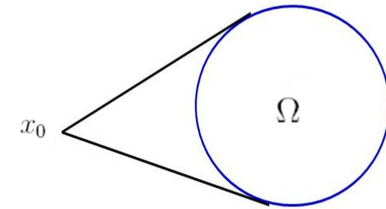
$$R(x, \tau) \xrightarrow{\tau \rightarrow \infty} 0 \text{ in } \Omega$$

$$\varphi(x) = \ln |x - x_0|, \quad x_0 \notin \overline{ch(\Omega)}$$

Eikonal:

$$\nabla \varphi \cdot \nabla \psi = 0, |\nabla \varphi| = |\nabla \psi|$$

$\psi(x) = d\left(\frac{x-x_0}{|x-x_0|}, \omega\right), \omega \in S^{n-1}$ : smooth  
for  $x \in \bar{\Omega}$ .



Transport:

$$(\nabla \varphi + i \nabla \psi) \cdot \nabla a_\tau = 0$$

(Cauchy-Riemann equation in plane generated by  $\nabla \varphi, \nabla \psi$ )

## Carleman Estimates

$$u|_{\partial\Omega} = \frac{\partial u}{\partial \nu}|_{\partial\Omega_-} = 0 \qquad \partial\Omega_{\pm} = \{x \in \partial\Omega; \nabla\varphi \cdot \nu \gtrless 0\}$$

$$\int_{\partial\Omega_+} \langle \nabla\varphi, \nu \rangle |e^{-\tau\varphi(x)} \frac{\partial u}{\partial \nu}|^2 ds \leq \frac{C}{\tau} \int_{\Omega} |(\Delta - q)ue^{-\tau\varphi(x)}|^2 ds$$

This gives control of  $\frac{\partial u}{\partial \nu}|_{\partial\Omega_{+,\delta}}$ ,

$$\partial\Omega_{+,\delta} = \{x \in \partial\Omega, \nabla\varphi \cdot \nu \geq \delta\}$$

## Partial Data

### **Linearization** (Analog of Calderón)

Theorem (Dos Santos Ferreira, Kenig, Sjöstrand-U, 2009;  
Sjöstrand-U, 2016)

$$\int_{\Omega} h uv = 0$$

$$\Gamma \subseteq \partial\Omega, \Gamma \text{ open,}$$

$$(\Delta - q)u = (\Delta - q)v = 0, \quad q \text{ is analytic, } u, v \in C^\infty(\overline{\Omega}),$$

$$\text{supp } u|_{\partial\Omega}, \text{supp } v|_{\partial\Omega} \subseteq \Gamma,$$

$$\Rightarrow h = 0.$$



## Complex Spherical Waves

$$u_\tau = e^{\tau(\varphi+i\psi)} a_\tau$$

$$\varphi(x) = \ln |x - x_0|, \quad x_0 \notin \overline{\text{ch}(\Omega)}$$

Also used to determine inclusions, obstacles, etc.

- a) Conductivity Ide-Isozaki-Nakata-Siltanen-U, 2007
- b) Helmholtz Nakamura-Yosida, 2007
- c) Elasticity J.-N. Wang-U, 2007
- d) Maxwell T. Zhou, 2010

## Complex Spherical Waves

(Loading reconperfect1.mpg)

## Anisotropic Case

$$\gamma = (\gamma^{ij})$$

conductivity

positive-definite, symmetric  
matrix

$\Omega \subseteq \mathbb{R}^n$ ,  $\Omega$  bounded. Under assumptions of no sources or sinks of current the potential  $u$  satisfies

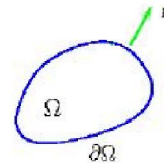
$$\operatorname{div}(\gamma \nabla u) = 0$$

$$\sum_{i,j=1}^n \frac{\partial}{\partial x_i} \left( \gamma^{ij} \frac{\partial u}{\partial x_j} \right) = 0 \text{ in } \Omega$$
$$u|_{\partial\Omega} = f$$

(\*)

$f =$  voltage potential at boundary

$$\Lambda_\gamma(f) = \sum_{i,j=1}^n \nu^i \gamma^{ij} \frac{\partial u}{\partial x_j} \Big|_{\partial\Omega}$$



Calderón Problem: Can we recover  $\gamma$  in  $\Omega$  from  $\Lambda_\gamma$  ?

## Invariance

$$\begin{aligned} \operatorname{div}(\gamma \nabla u) &= 0 \\ u|_{\partial\Omega} &= f \end{aligned}$$

$$\Lambda_\gamma(f) = \sum_{i,j=1}^n \gamma^{ij} \nu^i \frac{\partial u}{\partial x_j} \Big|_{\partial\Omega}$$

$$\Lambda_\gamma \Rightarrow \gamma ?$$

Answer: No

$$\Lambda_{\psi_*\gamma} = \Lambda_\gamma$$

where  $\psi : \Omega \rightarrow \Omega$  change of variables

$$\psi|_{\partial\Omega} = \text{Identity}$$

$$\psi_*\gamma = \left( \frac{(D\psi)^T \circ \gamma \circ D\psi}{|\det D\psi|} \right) \circ \psi^{-1}$$

$$v = u \circ \psi^{-1}$$

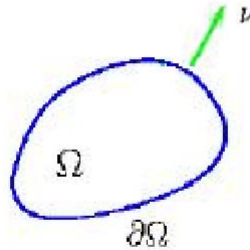
Open problem: Is this the only obstruction ( $\gamma \in C^\infty(\bar{\Omega})$ )?

## Geometric Inverse Problem (Lee-U, 1989)

$(M, g)$  compact Riemannian manifold with boundary.  
 $n \geq 3$ ,  $\Delta_g$  Laplace-Beltrami operator  $g = (g_{ij})$  pos. def. symmetric matrix

$$\Delta_g u = \frac{1}{\sqrt{\det g}} \sum_{i,j=1}^n \frac{\partial}{\partial x_i} \left( \sqrt{\det g} g^{ij} \frac{\partial u}{\partial x_j} \right) \quad (g^{ij}) = (g_{ij})^{-1}$$

$$\begin{aligned} \Delta_g u &= 0 \text{ on } M \\ u|_{\partial M} &= f \end{aligned}$$

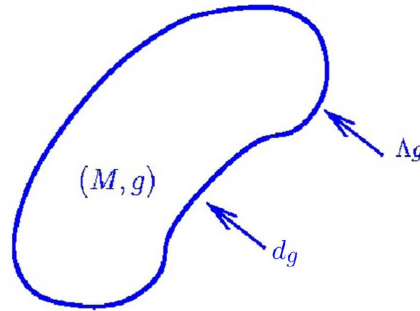


Conductivity:  
 $\gamma^{ij} = \sqrt{\det g} g^{ij}$

$$\Lambda_g(f) = \sum_{i,j=1}^n \nu^j g^{ij} \frac{\partial u}{\partial x_i} \sqrt{\det g} \Big|_{\partial M}$$

## ANOTHER MOTIVATION (STRING THEORY)

HOLOGRAPHY



Dirichlet-to-Neumann map is the “boundary-2pt function”

Inverse problem: Can we recover  $(M, g)$  (bulk) from boundary-2pt function ?

M. Parrati and R. Rabadan, Boundary rigidity and holography, JHEP 0401 (2004) 034

## Anisotropic ( $n \geq 3$ )

Theorem ( $n \geq 3$ ) (Lassas-U 2001, Lassas-Taylor-U 2003)  
 $(M, g_i), i = 1, 2$ , real-analytic, connected, compact, Riemannian manifolds with boundary. Let  $\Gamma \subseteq \partial M$ ,  $\Gamma$  open. Assume

$$\Lambda_{g_1}(f)|_{\Gamma} = \Lambda_{g_2}(f)|_{\Gamma}, \quad \forall f, f \text{ supported in } \Gamma$$

Then  $\exists \psi : M \rightarrow M$  diffeomorphism,  $\psi|_{\Gamma} = \text{Identity}$ , so that

$$g_1 = \psi^* g_2$$

In fact one can determine topology of  $M$ , as well (only need to know  $\Lambda_g, \partial M$ ).

## Non-Uniqueness (Anisotropic, $n \geq 3$ )

Counterexamples (Daudé-Kamran-Nicoleau, 2019)

- $\Gamma_1 = \Gamma_2$ ,  $\gamma$  is smooth in the interior but only Hölder up to the boundary (based on Müller's counterexamples for unique continuation).
- $\Gamma_1$  and  $\Gamma_2$  are disjoint,  $\gamma$  is smooth up to the boundary.



## Moding Out the Diffeomorphism Group

Some conformal class  $\Lambda_{\beta g} = \Lambda_g$ ,  $\beta \in C^\infty(M)$

$$\implies \beta = 1?$$

More general problem

$$\begin{aligned} (\Delta_g - q)u &= 0, \quad q \in C^\infty(M) \\ u|_{\partial M} &= f, \\ \Lambda_g(f) &= \frac{\partial u}{\partial \nu_g}|_{\partial M}. \end{aligned}$$

Inverse Problem: Does  $\Lambda_g$  determines  $q$ ?

## Moding Out the Diffeomorphism Group ( $n \geq 3$ )

$$\begin{aligned}(\Delta_g - q)u &= 0, \quad q \in C^\infty(M) \\ u|_{\partial M} &= f, \\ \Lambda_g(f) &= \frac{\partial u}{\partial \nu_g}|_{\partial M}.\end{aligned}$$



$$(*) \quad g(x_1, x') = c(x) \begin{pmatrix} 1 & 0 \\ 0 & g_0(x') \end{pmatrix}, \quad c > 0.$$

Theorem (Dos Santos-Kenig-Salo-U, 2009) Assume that there is a global coordinate system so that  $(*)$  is true. In addition  $g_0$  is simple. Then  $\Lambda_g$  determines uniquely  $q$ .

Extension: Dos Santos-Kurylev-Lassas-Salo, 2016

## Moding Out the Diffeomorphism Group

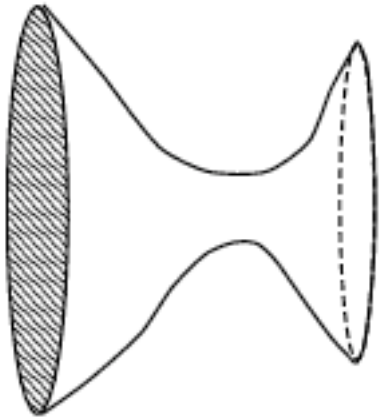
$$g(x_1, x') = c(x) \begin{pmatrix} 1 & 0 \\ 0 & g_0(x') \end{pmatrix}, \quad x' \in \mathbb{R}^{n-1}$$

### Examples

- (a)  $g(x)$  conformal to Euclidean metric (Sylvester-U, 1987)
- (b)  $g(x)$  conformal to hyperbolic metric (Isozaki, 2004)
- (c)  $g(x)$  conformal to metric on sphere (minus a point)

## Non-uniqueness for EIT (Cloaking)

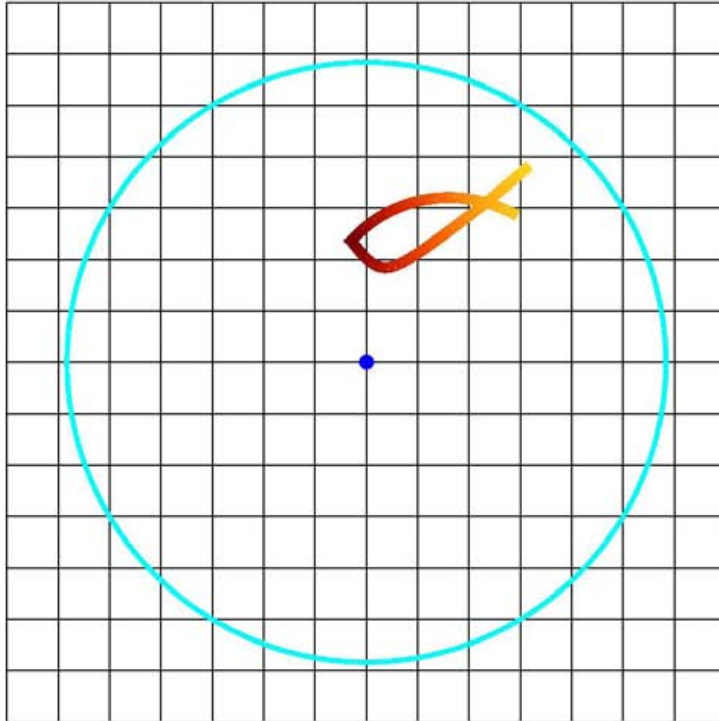
Motivation (Greenleaf-Lassas-U, MRL, 2003)



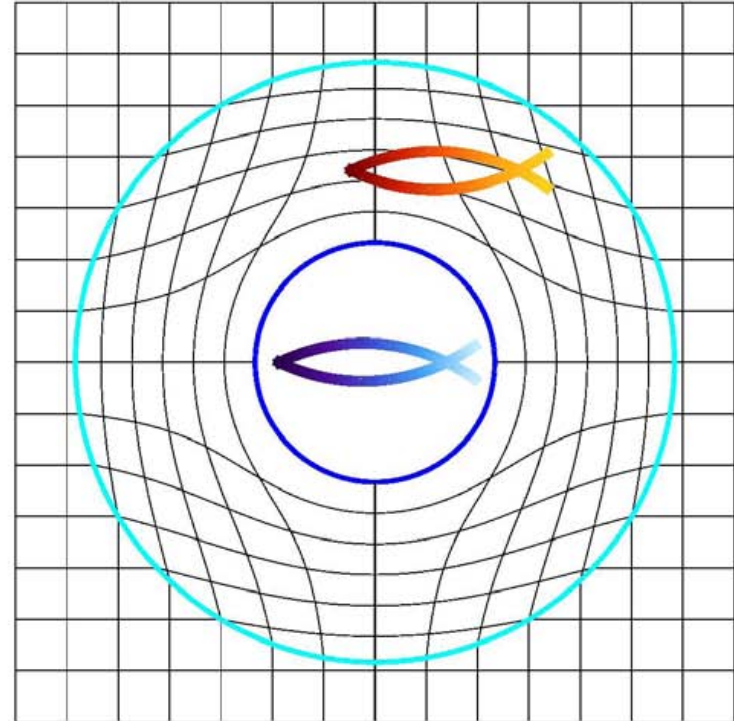
When bridge connecting the two parts of the manifold gets narrower the boundary measurements give less information about isolated area.

When we realize the manifold in Euclidean space we should obtain conductivities whose boundary measurements give no information about certain parts of the domain.

## Transformation Optics



virtual space



physical space

## Cloaking

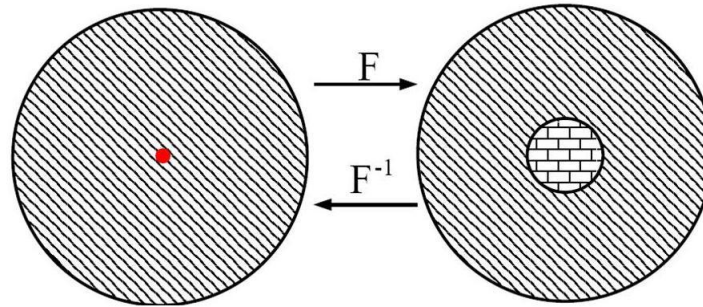
Greenleaf-Lassas-U, 2003

Let  $\Omega = \mathcal{B}(0, 2) \subset \mathbb{R}^3$ , where  $\mathcal{B}(0, r) = \{x \in \mathbb{R}^3; |x| < r\}$   
 $D = \mathcal{B}(0, 1)$ ,

$$F : \Omega \setminus \{0\} \rightarrow \Omega \setminus \overline{D}$$

$$F(x) = \left( \frac{|x|}{2} + 1 \right) \frac{x}{|x|}$$

$F$  - diffeomorphism,  $F|_{\partial\Omega} = \text{Identity}$



## Cloaking

Let  $\gamma = g = \text{identity on } \mathcal{B}(0, 2),$   
 $\hat{\gamma} = F_*\gamma \text{ on } \mathcal{B}(0, 2) \setminus \mathcal{B}(0, 1),$   
 $\hat{g} = \text{metric associated to } \hat{\gamma}.$

In spherical coordinates

$$(r, \phi, \theta) \rightarrow (r \sin \theta \cos \phi, r \sin \theta \sin \phi, r \cos \theta),$$

$$\hat{\gamma} = \begin{pmatrix} 2(r-1)^2 \sin \theta & 0 & 0 \\ 0 & 2 \sin \theta & 0 \\ 0 & 0 & 2(\sin \theta)^{-1} \end{pmatrix}$$

Let  $\tilde{\gamma}$  (*resp.*  $\tilde{g}$ ) be the conductivity (*resp.* metric) in  $\mathcal{B}(0, 2)$  such that  $\tilde{\gamma} = \hat{\gamma}$  (*resp.*  $\tilde{g} = \hat{g}$ ) on  $\mathcal{B}(0, 2) \setminus \mathcal{B}(0, 1)$  and **arbitrarily positive definite** on  $\mathcal{B}(0, 1)$ . Then

Theorem (Greenleaf-Lassas-U [2003](#))

$$\boxed{\Lambda_{\tilde{\gamma}} = \Lambda_{\gamma}} \quad \left( \text{resp. } \boxed{\Lambda_{\tilde{g}} = \Lambda_g} \right)$$

## The Two Dimensional Case

Theorem ( $n = 2$ ) Let  $\gamma_j \in C^2(\overline{\Omega})$ ,  $j = 1, 2$ .

Assume  $\Lambda_{\gamma_1} = \Lambda_{\gamma_2}$ . Then  $\gamma_1 = \gamma_2$ .

- Nachman (1996)
- Brown-U (1997) Improved to  $\gamma_j$  Lipschitz
- Astala-Päivärinta (2006) Improved to  $\gamma_j \in L^\infty(\Omega)$



## Schrödinger (n=2)

This follows from more general result

**Theorem** ( $n = 2$ , Bukhgeim, 2008) Let  $q_j \in L^\infty(\Omega)$ ,  $j = 1, 2$ .

Assume  $\Lambda_{q_1} = \Lambda_{q_2}$ . Then  $q_1 = q_2$ .

Bukhgeim,  $q_j \in C^1(\bar{\Omega})$

Extension: Blåsten, Imanuvilov, Yamamoto, 2016

## CGO (n=2)

$$\Lambda_{q_1} = \Lambda_{q_2} \Rightarrow q_1 = q_2$$

**Sketch of proof** New class of CGO solutions

$$\begin{aligned} u_1(z, \tau) &= e^{\tau z^2} (1 + r_1(z, \tau)) \\ u_2(z, \tau) &= e^{-\tau \bar{z}^2} (1 + r_2(z, \tau)) \end{aligned} \quad \tau \gg 1$$

solve  $(\Delta - q_j)u_j = 0$  with  $r_j(z, \tau) \rightarrow 0$  on  $\Omega$  sufficiently fast.

**Notation**  $z = x_1 + ix_2$

Remark  $z^2 = x_1^2 - x_2^2 + 2ix_1x_2 = \varphi + i\psi$

$$\nabla\varphi \cdot \nabla\psi = 0, \quad |\nabla\varphi| = |\nabla\psi|$$

$\varphi$  harmonic,  $\psi$  conjugate harmonic.

General result:  $\Delta_g - q$ ,  $M$  Riemann surface (Guillarmou-Tzou , 2010)

Partial Data for Second Order Elliptic Equations (n=2)  
(Imanuvilov–U–Yamamoto, 2011)

$$\Delta_g + A(z)\frac{\partial}{\partial z} + B(z)\frac{\partial}{\partial \bar{z}} + q \quad z = x_1 + ix_2$$

$g = (g_{ij})$  positive definite symmetric matrix;

$$\Delta_g u = \frac{1}{\sqrt{\det(g)}} \sum_{i,j=1}^n \frac{\partial}{\partial x_i} (\sqrt{\det(g)} g^{ij} \frac{\partial u}{\partial x_j}) \quad g^{ij} = (g_{ij})^{-1}$$

Includes:

- Anisotropic Calderón's Problem
- Magnetic Schrödinger Equation
- Convection terms

## Anisotropic (n=2)

Theorem (Imanuvilov–U–Yamamoto, 2011)  $\Omega \subset \mathbb{R}^2$ ,  $\Gamma \subset \partial\Omega$ ,  $\Gamma$  open,  $\gamma_k = (\gamma_k^{ij}) \in C^\infty(\bar{\Omega})$ ,  $k = 1, 2$ , positive definite symmetric. Assume

$$\Lambda_{\gamma_1}(f)|_\Gamma = \Lambda_{\gamma_2}(f)|_\Gamma, \quad \forall f \text{ supp } f \subset \Gamma.$$

Then  $\exists F : \bar{\Omega} \rightarrow \bar{\Omega}$ ,  $C^\infty$  diffeomorphism,  $F|_\Gamma = \text{Identity}$  such that

$$F_*\gamma_1 = \gamma_2.$$

Full Data ( $\Gamma = \partial\Omega$ ):

- $\gamma_k \in C^2(\bar{\Omega})$ , Nachman (1996)
- $\gamma_k$  Lipschitz, Sun–U (2001)
- $\gamma_k \in L^\infty(\Omega)$ , Astala–Lassas–Päivärinta (2006)

## Fractional Laplacian

Consider the *fractional Laplacian*

$$(-\Delta)^s, \quad 0 < s < 1,$$

defined via the Fourier transform by

$$(-\Delta)^s u = \mathcal{F}^{-1}\{|\xi|^{2s} \widehat{u}(\xi)\}.$$

This operator is *nonlocal*: it does not preserve supports, and computing  $(-\Delta)^s u(x)$  involves values of  $u$  far away from  $x$ .

## Fractional Laplacian

Different models for diffusion:

$\partial_t u - \Delta u = 0$	normal diffusion/BM
$\partial_t u + (-\Delta)^s u = 0$	superdiffusion/Lévy flight
$\partial_t^\alpha u - \Delta u = 0$	subdiffusion/CTRW

The *fractional Laplacian* is related to

- anomalous diffusion involving long range interactions (turbulent media, population dynamics)
- Lévy processes in probability theory
- financial modelling with jump processes

Many results for time-fractional inverse problems, very few for space-fractional [\[Jin-Rundell, 2015\]](#).

## Fractional Laplacian

Let  $\Omega \subset \mathbb{R}^n$  bounded,  $q \in L^\infty(\Omega)$ . Since  $(-\Delta)^s$  is nonlocal, the Dirichlet problem becomes

$$\begin{cases} ((-\Delta)^s + q)u = 0 & \text{in } \Omega, \\ u = f & \text{in } \Omega_e \end{cases}$$

where  $\Omega_e = \mathbb{R}^n \setminus \overline{\Omega}$  is the *exterior domain*.

Given  $f \in H^s(\Omega_e)$ , look for a solution  $u \in H^s(\mathbb{R}^n)$ . DN map

$$\Lambda_q : H^s(\Omega_e) \rightarrow H^{-s}(\Omega_e), \quad \Lambda_q f = (-\Delta)^s u|_{\Omega_e}.$$

**Inverse problem:** given  $\Lambda_q$ , determine  $q$ .

## First Result

### Theorem(Ghosh–Salo–U, 2020)

Let  $\Omega \subset \mathbb{R}^n$  be a bounded open set, let  $0 < s < 1$ , and let  $q_1, q_2 \in L^\infty(\Omega)$ . If  $W, W' \subset \Omega_e$  are open sets, and if

$$\Lambda_{q_1} f|_{W'} = \Lambda_{q_2} f|_{W'}, \quad f \in C_c^\infty(W),$$

then  $q_1 = q_2$  in  $\Omega$ .

Main features:

- local data result for *arbitrary*  $W, W' \subset \Omega_e$
- the same method works for *all*  $n \geq 2$
- new mechanism for solving (nonlocal) inverse problems



## Main tools 1: uniqueness

### Theorem

If  $u \in H^{-r}(\mathbb{R}^n)$  for some  $r \in \mathbb{R}$ , and if  $u|_W = (-\Delta)^s u|_W = 0$  for some open set  $W \subset \mathbb{R}^n$ , then  $u \equiv 0$ .

**Proof (sketch).** If  $u$  is nice enough, then

$$(-\Delta)^s u \sim \lim_{y \rightarrow 0} y^{1-2s} \partial_y w(\cdot, y)$$

where  $w(x, y)$  is the *Caffarelli-Silvestre extension* of  $u$ :

$$\begin{cases} \operatorname{div}_{x,y}(y^{1-2s} \nabla_{x,y} w) = 0 & \text{in } \mathbb{R}^n \times \{y > 0\}, \\ w|_{y=0} = u. \end{cases}$$

Thus  $(-\Delta)^s u$  is obtained from a *local equation*, which is degenerate elliptic with  $A_2$  weight  $y^{1-2s}$ . Carleman estimates [Rüland 2015] and  $u|_W = (-\Delta)^s u|_W = 0$  imply uniqueness.

## Main tools 2: approximation

**Theorem**(Ghosh–Salo–U, 2020)

*Any  $f \in L^2(\Omega)$  can be approximated in  $L^2(\Omega)$  by solutions  $u|_\Omega$ , where*

$$((-\Delta)^s + q)u = 0 \text{ in } \Omega, \quad \text{supp}(u) \subset \overline{\Omega} \cup \overline{W}. \quad (*)$$

If everything is  $C^\infty$ , any  $f \in C^k(\overline{\Omega})$  can be approximated in  $C^k(\overline{\Omega})$  by functions  $d(x)^{-s}u|_\Omega$  with  $u$  as in  $(*)$ .

**Proof.** Apply this to

$$\int_{\Omega} (q_1 - q_2)u_1u_2 = 0, \quad (-\Delta)^s + q_j)u_j = 0 \ (j = 1, 2)$$

## Further Results

- Variable coefficients fractional elliptic operators (Ghosh–Lin–Xiao, 2017)
- Regularity and stability (Rüland–Salo, 2017)
- Reconstruction and single Measurement (Ghosh–Rüland–Salo–U, 2018)
- Fractional Schrödinger equation with drift (Cekić–Lin–Rüland, 2018)
- Non-local Perturbations (Bhattacharyya–ghosh–U, 2019)
- Fractional magnetic operators (Covi, 2019, Li, 2020)
- Any local perturbation of fractional Laplacian (Covi–Mönkkönen–Railo–U, 2020)